



CASE STUDY

AN ALLOCATOR PARTNERS WITH EXTERNAL MANAGERS WITH MULTI-ASSET, ALTERNATIVES, PRIVATE MARKETS FUNDS

USE CASES

Manager Research, Portfolio Monitoring, Diversity Reporting, Data Governance

USERS

Manager Research, Portfolio Construction, Sustainable Investing, Operational Due Diligence Teams

The CHALLENGE

The firm's inbound management, research, and monitoring process involved manually collecting documents and data from prospective managers. The files are received in different formats, and the research analysts need to transcribe information from the marketing pitch, PPMs, reports, and DDQs into an Excel spreadsheet.

Further, the ongoing monitoring process required sending periodic questionnaires to the fund managers to collect information on portfolio exposures, AUM, and track record information not readily available in databases. Additionally, there is a need to gather general business performance details.



THE INNOVATION IMPACT

100% | DATA CENTRALIZED ON A SINGLE PLATFORM

60% | REDUCTION IN ADMINISTRATIVE COSTS

50% | FASTER TIME TO DATA



The **SOLUTION**

The firm adopted DiligenceVault (DV) to digitize their engagement with external managers. The types of data needs included inbound management, monthly/quarterly reporting, operational due diligence, and diversity surveys.

With DV, the firm centralized all data collection processes. Information collected was structured and analyzable. Further, the research teams leveraged DV's flexible notes builder to document call and meeting notes in structured formats and generated reports using DV's reporting module.

All activity was recorded on the platform and automatically created an auditable governance framework. Within two weeks, previously offline resources and communication were transitioned onto the DV platform.

The **BENEFITS**

- ✓ The team completely systematizes the manager engagement process - a win-win for all
- ✓ The firm begins their digital journey with an internal and centralized database of all manager information
- ✓ Cloud-based communication platform enables seamless remote collaboration
- ✓ Errors are minimized, no more duplication of efforts and solutions are easily reviewed and collaborated on by compliance and legal teams using the DV platform
- ✓ The head of the research team has full transparency into the team's activities and easy access to manager information
- ✓ The creation of recommendation memo, manager and fund snapshots, client reports is significantly sped up

“ This is a game changer for our external manager engagement! ”

UNITING ALL INVESTORS AND ALLOCATOR TEAMS WITH A COMMON PURPOSE

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